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Knowledge, Skills, and Thought Processing of the Battalion Commander and Principal Staff Officers

Theodore R. Powers and Arthur J. DeLuca



HUMAN RESOURCES RESEARCH ORGANIZATION
300 North Washington Street • Alexandria, Virginia 22314

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July 1972

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1. The general objective of this work unit was to produce information that would aid the long-range curriculum revision program at the U.S. Army Infantry School with systematic derivation of course content as a major element. This report describes the research that was conducted to identify the knowledge, skills, and thought processes of the battalion commander and his principal staff officers.
2. Job analysis procedures were followed to develop statements of the knowledge and skills requirements of the battalion officers (the findings for the specific jobs -- Battalion Commander, S1, S2, S3, S4 -- are reported in five separate annexes). It was found that knowledge and skills can be identified and ranked in importance, providing definite guidance to the curriculum planner. It was determined that, generally, the staff apparently processes requirements, in the area of thought processing, in a specific and predictable way. It was concluded that a systematic job analysis procedure can be used to identify the knowledge and skill requirements, that they can be ranked as to their importance and used to validate instructional programs and curriculum revisions, and that a simulated battalion CPX is a feasible approach for initial examination of thought processing.
3. This report should be of interest to those in areas of curriculum development, job analysis, systems engineering, and leadership and training.

FOR THE CHIEF OF RESEARCH AND DEVELOPMENT:



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13. ABSTRACT <p>This report describes research accomplished to aid the U.S. Army Infantry School in its systems engineering of the Infantry Officers Advanced Course curriculum. The research focused on the identification of the knowledge and skills and the study of thought processing of the battalion commander and his four principal staff officers (S1, S2, S3, S4). A survey of these officer activities was conducted in over 80% of all combat maneuver battalions, and data produced by a simulated battalion CPX were analyzed. It was concluded that it is feasible to identify knowledge and skills for commanders and staff officers by conducting a systematic job analysis, to use this information in the systems engineering of a curriculum, and to initially investigate thought processing by using a CPX. However, in the latter case definitive results should be developed by further experimentation.</p>			

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Knowledge, Skills, and Thought Processing of the Battalion Commander and Principal Staff Officers

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The Human Resources Research Organization (HumRRO) is a nonprofit corporation established in 1969 to conduct research in the field of training and education. It is a continuation of The George Washington University Human Resources Research Office. HumRRO's general purpose is to improve human performance, particularly in organizational settings, through behavioral and social science research, development, and consultation. HumRRO's mission in work performed under contract with the Department of the Army is to conduct research in the fields of training, motivation, and leadership.

The findings in this report are not to be construed as an official Department of the Army position, unless so designated by other authorized documents.

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FOREWORD

This report describes the research conducted by the Human Resources Research Organization under Work Unit CAMBCOM. This Work Unit had as its general objective the production of information that will aid curriculum revision at the U.S. Army Infantry School. The research reported in this document describes work that was conducted during 1968-70 to identify the knowledge, skills, and thought processes of the battalion commander and his principal staff officers. The information supplied by this research is currently being used in curriculum revision at The Infantry School and has been used by other Army service schools for informational purposes.

Although this report describes the events that took place during the research process and presents certain data for illustrative purposes, the reader should note that, because of the amount, scope, and complexity of the material, the complete data from this project are reported in five separate annexes that have been previously prepared.

The research described in this report was conducted by HumRRO Division No. 4 at Fort Benning, Georgia. The Division Director is Dr. T.O. Jacobs. The HumRRO research team that developed this information included Mr. Theodore R. Powers, Work Unit Leader, and Mr. Arthur J. DeLuca. The U.S. Army Infantry Human Research Unit commanded by LTC Chester I. Christie provided Sp4 Richard Winslow and Sp5 James Summers as military support.

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Meredith P. Crawford
President
Human Resources Research Organization

SUMMARY AND CONCLUSIONS

MILITARY PROBLEM

During the past several years, the U.S. Army Infantry School (USAIS) has been concerned with the implementation of a five-year Master Plan directed primarily toward improving instructional content and procedures. The Plan includes the introduction of innovations in technology of instruction and emphasizes the systematic derivation of training content as a critical first step in the establishment of any instructional system. As direct support to the implementation of this Plan, the USAIS requested that HumRRO establish a research project that would identify the knowledge and skills and examine the thought processing of the battalion commander and his principal staff officers (S1, S2, S3, S4).

OBJECTIVES OF THE STUDY

The identification of the knowledge and skills and the examination of thought processing¹ of the battalion commander and his principal staff would support the USAIS Master Plan in three areas. These are:

- (1) Validate that portion of the Infantry Officer Advanced Course (IOAC) curriculum (2-7-C22) that is devoted to battalion commander and staff functions.
- (2) Develop a quantitative basis for the derivation of Student Performance Objectives for IOAC subject matter as prescribed by CONARC Reg 350-100-1.
- (3) Serve as a basis for revision of USAIS literature concerning the battalion commander and staff.

APPROACH

The first step in the accomplishment of these objectives involved the identification of the knowledge and skills of the principal battalion staff officers. This was accomplished by using a modified U.S. Air Force job analysis procedure to develop a knowledge and skills inventory for use in surveying the activities of battalion staff officers. The inventory was sent to officers in more than 80% of all combat maneuver battalions located in CONUS, Europe, Alaska, Panama, Hawaii, Korea, and Vietnam. Battalions that had as their primary mission the support of activities at a U.S. Army Service School and battalions having an unstable geographical location at the time of the survey were excluded. The results of this research not only provided information concerning the battalion staff, but also indicated that, in general, similar procedures could be used to collect data concerning the battalion commander.

The development of a knowledge and skills inventory for the battalion commander proved to be more complex because the job had more of an executive level orientation than a specialist orientation that is present in the staff positions. Nevertheless, a

¹ Thought processing, as used in this report, refers to how the battalion commander and his staff officers interface with events and organize their implications in a generalized process that can be applied in varied situations.

knowledge and skills inventory was developed and used in surveying the activities of battalion commanders. It was sent to more than 85% of the battalion commanders located in CONUS, Europe, Alaska, Panama, Hawaii, Korea, and Vietnam.

Slightly over half the inventories were returned. The rate of return for the various types of the battalions and geographical locations, when analyzed, was such that the results obtained were considered representative of the population.

Concurrently with this work, the thought processing of the battalion commander and his staff was examined using a simulated CPX developed and conducted by the staff of Work Unit FORGE. This phase of the research generated a theoretical model to explain thought processing, tested the model on the FORGE CPX, and developed several conclusions about how thought processing may take place on the staff of the maneuver battalions.

RESULTS

The detailed results of this study are presented in five volumes of data, each describing the findings for a specific job (Battalion Commander, S1, S2, S3, S4). In general, it was found that knowledge and skills can be identified and ranked in importance, thus giving definite guidance to the curriculum planner.

In the area of thought processing, it was determined that, in general, the staff apparently processes requirements in a specific and predictable way. The demands imposed upon the commander necessitate some of this behavior but they also require some unique behavior that would not necessarily be entirely predictable.

CONCLUSIONS

(1) A systematic job analysis procedure can be used to identify the knowledge and skill requirements for both battalion commanders and their principal staff officers.

(2) Knowledge and skills can be ranked as to their importance and, thus, can be used to validate programs of instruction, fulfill curriculum revision demands required by higher headquarters, and revise student literature as appropriate.

(3) A simulated battalion CPX is a feasible approach for making an initial examination of thought processing as it relates to the battalion commander and his four principal staff officers. Further research in this area is necessary if definitive results are required.

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Knowledge, Skills, and
Thought Processing of the
Battalion Commander and
Principal Staff Officers

INTRODUCTION

MILITARY PROBLEM

Within the past several years, much interest has been generated in both civilian and military educational communities in the systematic derivation of training content as a critical first step in the establishment of an instructional system. This emphasis is demonstrated in the Army sphere by the publication in 1966 of CONARC Pamphlet 350-15, *Student Performance Objectives* (1), and in 1968 of CONARC Regulation 350-100-1, *Systems Engineering of Training (Course Design)* (2).

This high-level emphasis on systems engineering caused all service schools to implement a long-range program to reassess their basic instructional systems. At the U.S. Army Infantry School (USAIS), these requirements led to the development of a five-year Master Plan that stressed the introduction of innovations in the technology of instruction and emphasized the systematic derivation of course content. As direct support to this plan, HumRRO Division No. 4 was requested by the USAIS to assist in identifying the knowledge, skills, and thought processing of the battalion commander and his principal staff officers (S1, S2, S3, S4).

To focus the research in the appropriate areas, the USAIS offered the following guidelines to the research staff:

- (1) The research should include only those battalions classed as combat maneuver battalions—Infantry, Mechanized Infantry, Light Infantry, Airborne Infantry, Airmobile Infantry, and Tank Battalion.
- (2) The scope of the research would encompass only the battalion commander and his four principal staff officers (S1, S2, S3, S4). The battalion executive officer and, if assigned, the S5 (Civil Affairs Officer) would not be included in the research since the USAIS does not have primary responsibility for their training.

OBJECTIVES OF THE STUDY

There were three primary objectives for the research that is reported here. Information developed should enable the USAIS to:

- (1) Validate that portion of the Infantry Officer Advanced Course (IOAC) curriculum that is devoted to battalion commander and staff procedures.
- (2) Develop a quantitative basis for the derivation of Student Performance Objectives for IOAC subject matter as prescribed by CONARC Regulation 350-100-1.
- (3) Serve as a basis for revision of USAIS student literature concerning the battalion commander and staff.

RESEARCH APPROACH

With the establishment of the research requirements, Exploratory Research 64 (ER-64) was initiated to assess the feasibility of accomplishing research in this area.

During the early stages of ER-64, it became evident that because of the qualitative differences between the concepts of thought processing and the relatively more tangible factors of knowledge and skills, eventually two different methods of gathering data for these areas would evolve. This assumption proved to be correct, and in this report, the methods of gathering data for the two different factors of thought processing and of knowledge and skills are presented and discussed separately.

One major objective of ER-64 was to find the job analysis method that had the greatest potential for systematic collection, quantification, and organization of information about the job requirements of the battalion commander and his staff. An off-the-shelf method of analysis was sought since the projected time frame of the exploratory research and resultant Work Unit would not permit the development and testing of a new procedure.

To initiate this phase of the research, a literature survey was conducted that revealed the basic types of information-gathering methods used to identify knowledge and skill requirements. To judge the appropriateness of these various methods and to reflect the resources that would eventually be available to the Work Unit, the following criteria for selection of a job analysis method were developed:

- (1) Direct observation of the job incumbent would not be a critical requirement of the procedure.
- (2) Procedure could be concurrently applied to several different types of jobs at the same time.
- (3) Procedure would not only identify types of job information, but would also be capable of making hierarchical judgments as to their importance.
- (4) The time required for the administration and scoring of the procedure would easily fit within the time frame of the Work Unit.

The criteria were applied to numerous job analysis procedures, not only those used by the military services, but also those developed for use in private industry. It was determined that most of them did not qualify on one or more criteria.

However, a job analysis procedure that had originally been developed for use by the U.S. Air Force (3, 4) not only met all the judgmental criteria, but offered several advantages as well. First, it originally had been developed to identify tasks in a military environment; second, it offered established administrative procedures that had been successfully applied in a military environment; and third, its support and technical requirements were within the capability of the Work Unit. A general outline of the procedure is shown in Figure 1.

Job Analysis Method

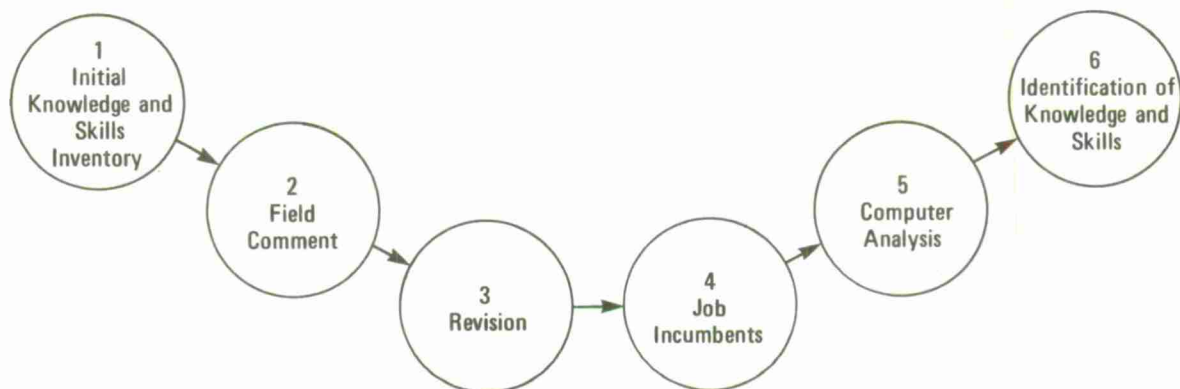


Figure 1

Step 1 in this procedure involved developing a "tentative" knowledge and skills inventory. This was accomplished by making a tentative list, using existing literature, and then having the list reviewed by technical experts. Step 2 involved submitting the revised "preliminary" list to the field for comment by job incumbents. The list was then returned to the research staff. In Step 3, the preliminary list was revised to reflect the field comment and a "final" list derived. In Step 4, the list was sent to the field for completion by job incumbents, and in Step 5, the information was subjected to computer analysis. Step 6 consisted of using the results of the computer analysis to develop usable job information. In the current research, this terminal step involved the identification of knowledge and skills for the battalion commander and his staff.

APPROACH TO THOUGHT PROCESSING

There have been some noteworthy efforts to categorize thinking and to conduct research on mental processes (5, 6, 7). However, much of this work has been conducted in the laboratory, and it has been difficult to generalize the results to a real-life situation. The term "thought processing," as used in this report, refers specifically to how the battalion commander and his staff officers interface with events and how they organize or structure the implications of those events in a generalized process that can be applied in a wide variety of situations.

Discussions with the USAIS indicated that their interest in the thought process area was not in identifying the basic attributes of thinking, but, rather, in knowing more about how mental processes operate within the framework of the battalion staff. Using this guidance, some of the possible environments in which information of this type might be collected were examined.

It was found that the staff of Work Unit FORGE of HumRRO Division No. 4 faced similar questions. The FORGE staff has the mission of identifying and obtaining better understanding of the human factors that influence performance of command and control functions in military organizations. To attack this problem, FORGE personnel chose a simulated battalion command post exercise (CPX) as their primary data-gathering vehicle. The CPX also seemed to meet the needs of CAMBCOM in that (a) a functioning battalion staff system would be operating, (b) rigorous experimental controls would be applied, and (c) inputs to the system would be carefully controlled; thus, interactions and outputs by the commander and staff officers could be identified and studied.

The determination of a job analysis procedure to identify knowledge and skills and the selection of a data-gathering vehicle to investigate thought processing signaled the termination of the exploratory research. Work Unit CAMBCOM (Combat Arms Maneuver Battalion Command) was activated and carried out the research that is reported in this document.

PROCEDURE

The first step in the research consisted of identifying the knowledge and skills of the battalion commander and his staff. It had been determined during the terminal stages of the exploratory research that although a great deal of material describing the activities of the staff was in existence, very little was available that discussed the job of the commander in specific detail. Since the job analysis method to be used required that a tentative list of knowledge and skills be prepared from existing information, it was decided that the initial effort of data collection should be aimed at the staff.

The first step in the job analysis procedure involved developing a tentative knowledge and skills inventory for each staff position (S1, S2, S3, S4). This required survey of written sources of material—Field Manuals, Programs of Instruction, Army Subject Schedules, and service school publications.

The list developed from the written sources was then taken to the Brigade and Battalion Operations Department (BBOD) of the USAIS, and personnel from the Staff Group (Personnel, Intelligence, Operations and Training, and Logistics Committees) commented on each list. In general, this involved determining whether each knowledge and skill was appropriate for inclusion on the list and making suggestions of other knowledge and skills that should be added.

At this point in the research, it became apparent that although there was general agreement as to what a staff officer did, there were no quantitative data that clearly defined how often a specific knowledge and skill was accomplished, how difficult it was to accomplish it, and how important it was within the total job picture. The development of these specific data, then, became one of the major objectives of the research.

The second step in the development of knowledge and skills information involved sending the preliminary lists to the field for comment. Twelve combat maneuver battalions located in the Continental United States (CONUS), Canal Zone, Europe, Hawaii, Alaska, and Vietnam were selected by the Continental Army Command (CONARC) for survey purposes. The staffs of these battalions were asked to supply the required information, and write-in comments were encouraged.

Each knowledge and skills inventory returned was assessed by the research staff. A major judgment area was whether a field comment reflected local job variation rather than differing requirements for knowledge and skills instruction. Results of the assessment determined that the preliminary lists were, in general, valid instruments of data collection, but that minor refinements were needed. In addition, the research staff reviewed, revised, and finalized administrative procedures for sending and receiving inventories.

DEVELOPMENT OF STAFF OFFICER FINAL KNOWLEDGE AND SKILLS INVENTORY

The final knowledge and skills inventory consisted of a basic format upon which data concerning specific staff officer functions could be entered. The format is shown in Figure 2. There are six major columns plus the requirement for two categories of information in the lower left-hand corner. Column 1 lists the Object. In the example, this is the subject of "Replacements." There was general agreement that the S1 had something to do with replacements; but exactly what, how often, how difficult, and how important were items that lacked quantitative data. Column 2 contains a list of specific actions that could be taken in the replacement area. The instructions were that if a specific action were taken, then the information in columns 3, 4, 5, and 6 should be supplied. If the staff officer was not involved with this action at all, he was instructed to draw a line through it indicating a negative response. The respondents were further requested to write in exactly what they did and supply required quantitative information for any action that was not listed.

Columns 3 through 6 contain requirements to produce quantitative data for each action in column 2. Column 3 simply requires that the staff officer indicate how frequently he does the required action. Column 4 requires that the respondent indicate on a relative scale¹ of 0 to 10 how much time is involved in the action. Column 5

¹During the ER, actual time unit scales were used during some pilot tests. Scales of this type proved to be unacceptable, because the data derived from them had little consistency among subjects.

Basic Format for Staff Officer Knowledge and Skills Inventory

1	2	3					4	5	6
OBJECT	ACTION	How Often					How Much Time	How Difficult	How Important
		Daily	Weekly	Monthly	Quarterly	Infrequently	10—a lot 8— 6— 4— 2— 0—very little	10—very hard 8— 6— 4— 2— 0—very easy	10—greatest 8— 6— 4— 2— 0—very little
Replacements	a. brief								
	b. determine priorities								
	c. welcome								
	d. confer w/ Bn S3 re:								
	e. confer w/ Bn S4 re:								
	f. confer w/ Bn CO re:								
	g. confer w/ Unit CO re:								
	h. confer w/ Bde S1 re:								
	i. confer w/ Div AG re:								

Where learned? School _____ OJT _____

Should be learned: School _____ OJT _____

Figure 2

requires a judgment of how difficult the action is on the same type of relative scale. Column 6 requires a judgment on the importance of the action. The respondent filled in each of these columns with the appropriate numbers, and this information was combined into the basic data of the study.

The information requested in the "Where Learned" and "Should be Learned" categories refers to the Object listed at the top of the figure (e.g., Replacements). The staff officer was asked to indicate exactly where he had received instruction on this subject (i.e., a formal service school or on-the-job instruction). He was then asked to give his opinion as to where this particular subject matter should be learned. It was anticipated that this portion of the data could be used as supplemental information for determining appropriate teaching context.

DEVELOPMENT OF BATTALION COMMANDER FINAL KNOWLEDGE AND SKILLS INVENTORY

The development of knowledge and skills inventories for battalion commanders closely followed the development pattern used for staff officers. That is, tentative knowledge and skills lists were developed, revised to reflect comments by the USAIS, assessed in a field pilot study, and eventually modified for a final list.

However, early in the research process it became apparent that the job of the battalion commander would be a more difficult position to assess, since the requirements for this type of job were focused in the executive areas where previous research had indicated that job analysis is relatively difficult. This difficulty in identifying requirements is due, in part, to the nebulous nature of executive jobs and, in part, to the fact that men holding these positions must become interested in all areas that have an impact upon an organization, even if only a minimal interest is required.

Defining the knowledge and skill requirements for the battalion commander thus involved collecting quantitative data in a somewhat different form than that used for the staff officer. Previous research (8) had shown that the Hemphill "part-of-the-position scale" was able to reflect a combination of importance, criticality, and time spent. Also, along with acceptable reliability, this type of scale was apparently well received by the respondents. Therefore, in the battalion commander survey, a single 10-point scale was utilized to ask the respondents how much particular objects were "part-of-the-position."

On this scale, the number 1 indicates that an object, "Under unusual circumstances, may be a minor part of the position," while the assignment of number 10 would indicate an object that was "A most significant part of the position." Intermediate numbers indicate some degree of involvement between these extremes.

The instructions also specified that if the respondent was not involved with the object in any way, he should enter the numeral 0 (zero); this would identify objects that were not part of the position. An example of this type of knowledge and skills inventory is shown in Figure 3.

In addition to the assessment of knowledge and skills, the battalion commander inventory contained several sections of general data. This type of information ranged from a determination of the capabilities of battalion staff to a request to identify the situation that was making greatest demands on his leadership. These data were presented in open-end question form, and the battalion commanders were requested to write in their answers. Although much of the general information supplied was anecdotal and personal in nature, some of it proved valuable in developing a realistic picture of some of the demands that are made upon the battalion commander today.

PROCESSING AND RETURN OF INVENTORIES

The final staff officer inventories were submitted to the staff of 171 combat maneuver battalions, while somewhat later, the battalion commander inventories were submitted to the commanders of 161 battalions.¹ This sampling covered officers in more than 80% of all combat maneuver battalions located in CONUS, Europe, Alaska, Panama, Hawaii, Korea, and Vietnam. Battalions that had as their primary mission the support of activities at a U.S. Army Service School and battalions having an unstable geographical location at the time of the survey were excluded.

¹This reduction in the number of battalions directly reflects a reduction in Army size during the 10 months intervening between the staff officer survey and the battalion commander survey.

Battalion Commander K-S Inventory: Logistics

Listed below are various OBJECTS in the area of LOGISTICS. As you read each OBJECT, consider whether or not you are personally involved with it. If you are, place a check (✓) in the first column on the right side of the page. If you are not, draw a line through it and leave the column blank. When you have completed this action, go back to the first item and indicate the extent each is "Part-of-the-Position" of battalion commander by writing a number in the column opposite each item. The rating scale is reprinted below for immediate reference.

- 1 Under unusual circumstances, may be a minor part of the position
- 2 —
- 3 —
- 4 —
- 5 A substantial part of the position
- 6 —
- 7 —
- 8 —
- 9 —
- 10 A most significant part of the position

	OBJECT	Check if Involved	PART-OF-THE-POSITION
1.	Report of Survey		
2.	Statement of Charges		
3.	Quarterly Report of Operational Loss		
4.	Cash Collection Voucher		
5.	DA Form 2765, 2765-1, or DA Form 1150		
6.	Hand Receipt File		
7.	Due-In Suspense File		
8.	Document Register		
9.	Document File		
10.	Organizational Property Book		
11.	Installation Property Book		
12.	Prescribed Load List		
13.	Etc.		

Figure 3

To reflect the existing maneuver battalion profile, quotas were generated to build a sample roughly proportional to the maneuver battalion population as to (a) type of battalion and (b) specific geographical location. Within any one quota, selective choice sampling was used rather than random selection, because of various administrative and technical considerations.¹

¹ The exact sampling profile for this survey contains information that is classified; thus it is not included in this unclassified report.

The number of inventories sent, returned, and unusable because of incorrect completion, and the percent of usable returns for each position are shown in Table 1. A comparison of the types of battalions and geographical locations of personnel who returned inventories versus personnel who did not return inventories showed no indications of bias in the returned material; thus it is assumed that the results obtained are representative of the population.

Table 1
Inventories Sent and Returned per Position

Job	Sent	Returned	Unusable Returns	Usable Returns	Percent of Usable Returns
S1	171	105	10	95	55
S2	171	105	13	92	53
S3	171	82	6	76	44
S4	171	87	3	84	49
Battalion Commander	161	87	0	87	54

DEVELOPMENT OF THOUGHT PROCESSING INFORMATION FOR THE COMMANDER AND STAFF

The development of thought processing information for the commander and staff was primarily accomplished by utilizing the data collection effort of Work Unit FORGE. The FORGE staff has the general mission of studying organizational effectiveness; in accomplishing this, they developed a simulated battalion command post exercise (CPX) that utilized well-controlled experimental variables.

This exercise was based upon a simulated airmobile assault in Vietnam and involved 12 student players, all experienced staff officers. The players were given an initial briefing on one day and then were required to participate in the exercise on the following day. The exercise was well structured for control purposes with all verbal conversations being recorded and all written communications being copied. As the simulated tactical exercise developed, numerous "probes" were initiated by a control group. These probes were generally in the form of requirements, and in this way a general direction was given to the CPX. The voluminous data collected by the CPX will be reported in various documents produced by the staff of Work Unit FORGE. However, for CAMBCOM purposes, these data were analyzed in an attempt to explore thought processing of a battalion commander and his staff.

The term "thinking" can refer in a general sense to the process by which individuals apprehend or become aware of what is going on around them. The term "thought processing," as used in this report, refers specifically to how the battalion commander and his staff officers interface with events and how they organize or structure the implications of those events in a generalized process that can be applied in a wide variety of situations. This search for a generalized process led to the CAMBCOM model that is shown in Figure 4.

Looking at Figure 4 from left to right, it can be seen that events occur (these may be enemy action, friendly action, or simple administrative requirements) that cause requirements to be initiated from two primary sources. The first is labeled "Command

Thought Processing on the Battalion Level

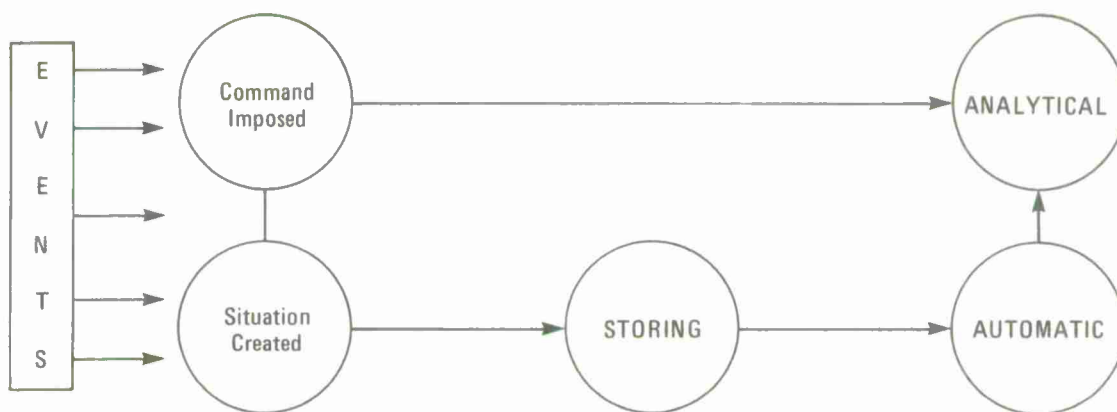


Figure 4

Imposed," and this refers to a requirement imposed by a higher headquarters. In the FORGE-designed CPX, this requirement usually came from brigade level. The second source of requirements is labeled "Situation Created." Again, in the context of the CPX, this indicated an area in which a requirement was generated by the situation and was mediated by company-level personnel.

These requirements generated a stream of events that necessitated action at the battalion level. This action was categorized into three behavior patterns. The first of these to occur was the "Storing" response. Storing, in this context, means that the communication input was simply accumulated in some form (sometimes in the mind, but more often recorded on a map or entered in a file) with no immediate action required. A common bit of communication that elicited a Storing response was the routine report of grid coordinates for a specific location. The decision as to whether a bit of information is of the storing type was usually inherent in the communication. That is, most of the communications were either directive (and these included requests from subordinates) or nondirective in nature; thus, no real decision-making is required at this point.

The second category of behavior pattern was the "Automatic" response. This identifies an activity in which the stimulus automatically activates systems that lead to prepatterned reactions, because of training and experience. This means that when an officer receives a request, he knows what to do and does it. An example would be an S4 receiving a request for Class III supplies. His reaction to a request of this type would be mainly automatic, because he would know the sequence of events that must be initiated for the requesting agency to eventually receive the needed supplies.

The third category of response was labeled "Analytical." This is a very complex area, and is the area that most people would probably include in the category of thinking. In the Analytical response, a request is examined by several people, and several possible decisions are suggested, in contrast to the Automatic type of response in which an immediate decision is made, usually by one person. This analytical type of response requires that the officer examine various alternatives in order to select the one that would apparently solve the requirement. Recall, experience, education, training, sensing, judgment, imagination, and perhaps fantasy are all integrated in the application of this behavior. Table 2 lists the major variables differentiating between Analytical and Automatic responses.

Thus, using the model shown in Figure 4 as the method of categorization and a simulated CPX as the data collection vehicle, a study of thought processing was conducted on the battalion staff.

Table 2

**Major Variables Differentiating Between
Analytical and Automatic Responses**

Variable	Automatic Response	Analytical Response
Number of personnel directly involved in action	1	2 or more
Proposal of several possible alternatives	No	Yes
Time delay between request and reaction	None	Some

RESULTS

BATTALION STAFF KNOWLEDGE AND SKILLS INVENTORY

The detailed results of the battalion staff officer knowledge and skills research are presented in four separate annexes that have been previously issued.¹ These documents present the quantitative results for each staff officer separately. Within any one document, the individual objects and actions are analyzed and discussed and there is an overall view of the job requirements for each staff officer position. An example of this type of analysis is presented in Figure 5.

A summary view of the results of the staff officer survey is extremely difficult to develop since over 2000 knowledges and skills were assessed. However, the research did produce general rankings for the Objects, and the following four tables identify those Objects along with their importance. Table 3 shows the general rankings for the S1.

The results of the S1 survey indicate that those Objects usually thought of as important (e.g., Officer Efficiency Report, Unit Readiness Report) were, in fact, rated in this manner by the job incumbents. Alternately, those activities or Objects that are tangential to unit mission (e.g., Activity & Recreation Program, Social Events, Civilian Employees) were rated as being relatively less important. The ranking of several activities may not have been in the predicted direction (e.g., the low ranking of the activities surrounding the Unit Journal), but it should be remembered that this survey reflects the exact job requirements of present-day S1s. Thus, any deviations in rankings from the expected direction simply reflect an apparent change in the knowledge and skill requirements for these officers.

Table 4 shows the general ranking for the S2 officer. The results of the S2 survey indicate that those Objects having to do with current information (e.g., Situation Map, S2 Work Map) were classed as being above average importance on the job. Those Objects that are not directly related to immediate information (e.g., Counterintelligence Plan,

¹ "The Knowledge and Skills of the Battalion S1," HumRRO Division No. 4, Fort Benning, Georgia [1970]. "The Knowledge and Skills of the Battalion S2," HumRRO Division No. 4, Fort Benning, Georgia [1970]. "The Knowledge and Skills of the Battalion S3," HumRRO Division No. 4, Fort Benning, Georgia [1970]. "The Knowledge and Skills of the Battalion S4," HumRRO Division No. 4, Fort Benning, Georgia [1970].

Battalion S1: Analysis of Object 11 and Its Related Actions

<u>Object</u>	<u>Actions</u>
Replacements	a. Brief b. determine priorities c. welcome d. confer w/Bn S3 re: e. confer w/Bn S4 re: f. confer w/Bn CO re: g. confer w/Unit CO re: h. confer w/Bde S1 re: i. confer w/Oiv AG re:
<u>Percent Performing:</u> 97% world-wide	
<u>If Not S1, Who Does Work:</u> No consistent picture.	
<u>General Importance of Area:</u> Average	
<u>General Time Requirement:</u> Average	
<u>When Accomplished:</u> Daily or weekly	
<u>General Difficulty Level:</u> Below average	
<p><u>Comments on Specific Actions:</u> The data indicate that for the S1 the determination of priorities for replacements is by far the most important activity in this area. In fact, the importance rating given this particular action identifies it as being one of the most important activities in the whole S1 job structure. As would be expected, this activity takes more than an average amount of time and is considered to be of more than average difficulty. It usually takes place on a daily or weekly basis, depending upon the requirements of the battalion.</p> <p>Of only slightly less importance in this area are conferences with the Bn CO and Unit CO's. These conferences also take place on a daily or weekly basis.</p> <p>Next in importance are welcoming and briefing of replacements, and conferences with the Bde S1 and Div. AG. Welcoming and briefing take place on a daily or weekly basis, while the conferences take place daily, weekly, or monthly, as appropriate.</p> <p>Of relatively least importance in this area are conferences with the Bn S3 and Bn S4. These conferences, as far as replacements are discussed, tend to take place on an infrequent basis.</p>	
<u>Discussion:</u>	
<p>1. About 97% of all S1's perform some activities in connection with Replacements. This area is rated average in importance, and it is recommended that certain aspects be presented in IOAC.</p> <p>This view was only partially supported by the S1's who were surveyed. Although only 7% of them reported that they had received school training in this area, about 40% thought school training should be offered in this subject.</p> <p>2. Analysis of specific action has indicated that the determination of priorities for replacements is the most important activity in the area. Of slightly less importance are conferences with the Bn CO and Unit CO's. This is followed by welcoming and briefing of replacements and conferences with the Bde S1 and Div. AG. Of least importance in the area are conferences with the Bn S3 and Bn S4.</p>	

Figure 5

Table 3

General Rating of Objects: S1

Importance	Object Number	Object Title
Above Average	35	Officer Efficiency Report
	18	Graves Registration Service
	19	Rotation
	42	Personnel Estimate
	26	Sympathy Letter
	31	Military Justice
	12	Unit Readiness Report
	9	Casualty Feeder Report
	1	Morning Report
	21	Postal Service
	43	S1 Section SOP
	25	Congressional Actions
	13	Morale Indicator Reports
	28	State of Discipline
	2	Company Daily Strength Message
Average	30	Maintenance of Law and Order
	3	Battalion Daily Strength Message
	15	Decorations and Awards
	40	Battalion CP and Battalion HQ
	39	Awards and Ceremonies
	20	Medical Service
	36	Unit Funds
	5	Battalion Daily Status Report
	32	Personnel Procedures
	10	Administrative Reports to Higher HQ
	4	Daily Status Report (On-Line Strength Report)
	29	Disciplinary Reports
	41	S1 Workbook or S1 Notebook
	11	Replacements
	22	Rest and Recuperation
	14	Morale Indicators
	44	Tactical (Field) SOP-S1 Portion
Below Average	8	Summary Authorized/Assigned Strength
	6	Personnel Daily Summary
	7	Periodic Personnel Report
	16	Religious Services
	47	Duty Officers
	48	Duty Rosters
	17	A&R Program
	23	American Red Cross
	33	POW
	27	Army Information Program
	37	Educational Development
	38	Social Events
	45	Staff Section Journal
	24	Army Emergency Relief
	34	Civilian Employees
	46	Unit Journal

Table 4

General Rating of Objects: S2

Importance	Object Number	Object Titles
Above Average	12	POW
	24	Situation Map
	25	S2 Work Map
	37	Spot Report
	30	Enemy Situation
	16	Captured Documents
	1	Classified Documents
	23	S2 Worksheet or S2 Notes
	32	Operations Orders/Plans (Intelligence Portion)
	19	Patrol Plans
	35	INTSUM
	36	INTREP
	20	Target Acquisition and Info (Potential Targets)
Average	11	Pilots/Air Observers
	28	Intelligence Estimate
	21	S2/S3 Journal
	17	Maps
	34	PERINTREP
	15	Captured Material
	26	OB Handbook
	10	Aerial Battlefield Surveillance Requests
	14	Local Civilians
	9	Aerial Reconnaissance Requests
	27	Collection Plan (EEI-OIR)
	2	Security Clearance
	6	Intelligence and Counterintelligence Training Program
Below Average	7	Scout/Reconnaissance Platoon
	29	Area of Operations
	33	Counterintelligence Plan
	8	Ground Surveillance Section
	31	Tactical (Field) SOP - S2 Portion
	38	MORTREP-SHELLREP-BOMBREP
	4	HQ Physical Security Survey
	22	Unit Journal
	40	After Action Report
	3	Security Lectures
	39	Technical Intelligence Bulletin or Summary
	18	Aerial Photographs
	13	Wind Messages
	5	Unit Censorship

After Action Report, Technical Intelligence Bulletin) were rated as being relatively less important. Once again, these ratings were generated by job incumbents, and any deviations from the expected direction may well reflect changing job requirements.

Table 5 shows the general rankings for the S3 officer. The results of the S3 survey indicate that those aspects of the job that are operational in nature were considered most

Table 5

General Rating of Objects: S3

Importance	Object Number	Object Title
Above Average	38	Scheme of Maneuver
	39	Plan of Fire Support
	15	Operational Readiness Test
	12	Army Training Tests
	44	Priorities (Personnel and Equipment)
	27	Situation Map
	13	Battalion Field Exercise (FTX)
	42	Operations Orders
	40	Communications Plans
	36	Operations Estimate
	20	Battalion CP
	28	Situation Reports
	48	Air-Ground Operations
	21	Battalion Operation Center
	43	Tactical Troop Movements
	41	Troop List
	14	Command Post Exercise (CPX)
Average	47	SOP/SSI
	33	Command Report
	4	Training Calendar
	26	Unit Journal (S3 Journal/S2/S3 Journal)
	45	March Planning
	25	S3 Workbook or Notebook
	34	Unit Readiness Report
	32	Spot Reports
	1	Unit Training Programs
	37	Tactical (Field) SOP
	2	Training Schedules (Master and Weekly)
	29	After-Action Report
	22	Operations and Training Portion of Bn SOP
	35	TOE Changes
	30	Operational Report of Lessons Learned
	16	PCPT
	5	Training Facilities
Below Average	23	Policy File
	31	Periodic Reports
	49	Rear Area Security
	9	Training Schools
	8	Training Reports
	3	Bn Training Circular
	10	Army Training Programs
	17	Field Manuals
	18	AR's (Organization and Training)
	46	Administrative Marches
	19	Training Circulars
	7	Training Records
	11	Army Subject Schedules
	24	Staff Study
	50	Civil Affairs
	6	Training Aids

important on the job. Aspects of the job that have to do with training, particularly documents and reports that deal with training, are rated as being relatively less important. This is probably valid since the written training type of documents are relatively well defined and unchanging, while operational requirements are dynamic and present the S3 with continuing new problems.

Table 6 shows the general rankings for the S4 officer. The results of the S4 survey indicate that those aspects of the job that deal with major classes of supply and major maintenance or reporting areas were rated the most important job requirements. Those job aspects that encompass minor classes of supply, or areas that occur relatively infrequently (e.g., Real Estate or Decontamination Squads) were rated as relatively less important. This particular delineation of importance would appear to be in the expected direction.

The detailed results of the School Learned vs. OJT informational areas were reported in the previously referenced separate annexes. Summarization is difficult because of the quantity, scope, and complexity of the material. In general, only a small number of staff officers reported that they had received formal school training in a particular subject. A majority indicates, however, that most subjects should be introduced in a school training situation.

This particular result has implications not only for the curriculum planner, but also for the designers of the career ladder structure, and the managers of routine assignment policy. In the latter case, it seems clear that staff officers feel that specific job-type school training should precede job assignment instead of the reverse, which is often the case in the current force structure.

Table 6
General Rating of Objects: S4

Importance	Object Number	Object Title
Above Average	20	Maintenance (Vehicles and Aircraft)
	43	Movement Planning (Air and Ground)
	54	Daily Battle Loss Report
	15	Inventories
	49	Transportation (Air and Ground)
	29	Class V Supplies
	6	Hand Receipt File
	24	Unit Readiness Report
	22	Maintenance (Commo Equipment)
	3	Quarterly Report of Operational Loss
	10	Organizational Property Book
	46	Battalion Combat Trains
	39	Tactical (Field) SOP-S4 Portion
	51	Rear Area Security
	33	Class IX Supplies
	48	Bath Service
	21	Maintenance (Weapons)
	37	Logistical Estimate
	38	S4 Section SOP
	47	Battalion Feeding Plan
Average	36	Water
	1	Report of Survey
	50	Traffic Control

(Continued)

Table 6 (Continued)

General Rating of Objects: S4

Importance	Object Number	Object Title
Average (Cont.)	12	Prescribed Load List
	5	DA Form 2765 (2765-1 or 1150)
	45	Battalion Field Trains
	40	Administrative Order
	7	Due-In Suspense File
	44	Aerial Resupply
	16	Food Service
	31	Class VII Supplies
	55	Control & Assessment Team
	52	Captured Enemy Material
	42	Logistical Fragmentary Orders
	27	Class III Supplies
	11	Installation Property Book
	41	Administrative Plans
	25	Class I Supplies
	8	Document Register
Below Average	18	Salvage Materiel
	13	Expendable Supplies
	26	Class II Supplies
	28	Class IV Supplies
	17	Laundry-Clothing Exchange Service
	2	Statement of Charges
	34	Class X Supplies
	9	Document File
	19	Real Estate
	56	Decontamination Squads
	53	Area Damage Control Plan
	14	Individual Organizational Clothing & Equipment Record
	23	Maintenance (Medical Equipment)
	57	Rescue Squads
	32	Class VIII Supplies
	30	Class VI Supplies
	4	Cash Collection Voucher
	35	Maps

BATTALION COMMANDER KNOWLEDGE AND SKILLS INVENTORY

The detailed results of the battalion commander knowledge and skills research was previously issued in a research by-product.¹ This document presents detailed quantitative results for each knowledge and skill; the current view of battalion commanders on their staff, major problem areas, and leadership; and personal background information that describes the typical profile of a battalion commander.

¹ Arthur J. DeLuca and Theodore R. Powers. "Battalion Commander, Combat Arms Maneuver Battalion: Identification of Knowledge and Skills and Investigation of Thought Processing," HumRRO Division No. 4, Fort Benning, Georgia, [1971].

A summary of the results of the battalion commander survey is extremely difficult to develop, because of the large amount of complex and interacting material. For illustrative purposes, however, one particular section of the battalion commander booklet is presented and discussed, although it should be noted that this discussion covers only a small percentage of the material that was collected for this position.

Table 7 shows the results for the Leadership section of the battalion commanders booklet. The results of the Battalion Commander Leadership survey are remarkable in several respects. First, almost all subjects reported that each of the 77 Leadership Objects are included as a part-of-the-position. Second, the average percentage range of part-of-the-position is rather low overall, and, in fact, only covers a ratio of about two to one in the entire list. Both of these findings would seem to signify that the Leadership area, in general, is considered a job requirement for all commanders and that various subtopics in the area are not thought of as having a great deal of differentiation.

An important observation is that 13 of the 22 Objects appearing in the upper third of the ranking worldwide also appeared in that category in the Vietnam results. (The common 13 are identified by asterisks (*) by the numbers in the Rank column.) An inference that may be drawn from this finding is that the leadership requirements at battalion level are, to a major extent, quite similar, whether the battalion is in a combat or a garrison situation.

Another observation is that "Setting and maintaining standards" and "Setting the example" were chosen one and two in the rankings of all commanders everywhere. Commanders clearly believe that a most important part of leadership is the role of a "model" that should be played by the commander.

The battalion commander knowledge and skills inventory, one of the five separate annexes to this report, presents detailed data for the areas of *Personnel*, *Intelligence*, *Operations*, *Logistics*, *Training*, *Management*, *Decision-Making*, and *Leadership*. A detailed discussion of the results for each of these areas is presented in the annex with comparisons and comments, of the data from Vietnam to the worldwide results.

Table 7

Battalion Commander Leadership— Ranking of Objects: Worldwide

NOTE: The following definition of Leadership was used in this research:

"Leadership is the process of influencing the actions of individuals and organizations in order to obtain desired results." (Department of the Army Pamphlet 600-15)

Rank ^a	Object	Average Percent "Part-of-the-Position"			Percent of Subjects Responding
		Cumulative Sum by All Subjects	On Item, by All Subjects	On Item, by Subjects Responding	
*1	Setting and maintaining standards	1.7	1.7	1.7	98.8
*2	Setting the example	3.4	1.7	1.7	98.8
*3	Enlisted men's safety and welfare	5.0	1.6	1.6	98.8
*4	Organizing	6.6	1.6	1.6	97.6
*5	Setting goals/levels of achievement or effort	8.2	1.6	1.6	98.8

(Continued)

Table 7 (Continued)

**Battalion Commander Leadership—
Ranking of Objects: Worldwide**

Rank ^a	Object	Average Percent "Part-of-the-Position"			Percent of Subjects Responding
		Cumulative Sum by All Subjects	On Item, by All Subjects	On Item, by Subjects Responding	
*6	Giving clear, positive, and timely orders	9.8	1.6	1.6	98.8
*7	Establishing and maintaining <i>esprit de corps</i>	11.4	1.6	1.6	98.8
*8	Rewarding/praising subordinates	12.9	1.5	1.5	98.8
9	Initiating actions	14.4	1.5	1.5	98.8
10	Motivating	15.9	1.5	1.5	98.8
11	Inspecting	17.4	1.5	1.5	98.8
12	Planning	18.9	1.5	1.5	98.8
13	Directing	20.4	1.5	1.5	98.8
*14	Keeping subordinates informed	21.9	1.5	1.5	98.8
*15	Fostering individual and unit confidence	23.4	1.5	1.5	95.2
*16	Personal visits	24.9	1.5	1.6	98.8
*17	Consistency in disciplinary matters	26.4	1.5	1.6	96.4
18	Accessibility to officers	27.8	1.4	1.4	97.6
19	Accessibility to enlisted men	29.2	1.4	1.4	97.6
20	Acts expressing approval	30.6	1.4	1.4	97.6
*21	Controlling	32.0	1.4	1.4	97.6
22	Evaluating	33.4	1.4	1.4	98.8
23	Making policy	34.8	1.4	1.5	98.8
24	Allowing subordinates to develop procedures and techniques to implement policies	36.2	1.4	1.4	98.8
25	Creating an atmosphere which fosters mutual confidence and respect	37.6	1.4	1.5	98.8
26	Fostering the expression of new ideas, frank appraisals, and honest convictions through personal encouragement	39.0	1.4	1.4	98.8
27	Giving staff every opportunity to participate in the development of plans, policies, and procedures	40.4	1.4	1.4	98.8
28	Disseminating information on plans and operations as completely as security requirements permit	41.8	1.4	1.4	98.8
29	Finding out and attempting to eliminate the basic conditions creating uncertainty and frustrations before they accumulate	43.2	1.4	1.4	97.6
30	Assuring men know what is expected of them	44.6	1.4	1.5	97.6
31	Expressing confidence in the unit's combat ability	46.0	1.4	1.5	95.2
32	Providing a sense of accomplishment	47.4	1.4	1.5	97.6
33	High energy	48.8	1.4	1.5	95.2
34	Mission type orders	50.2	1.4	1.5	94.0
35	Informal relationship with officers	51.5	1.3	1.3	98.8
36	Courage	52.8	1.3	1.3	97.6

(Continued)

Table 7 (Continued)

**Battalion Commander Leadership—
Ranking of Objects: Worldwide**

Rank ^a	Object	Average Percent "Part-of-the-Position"			Percent of Subjects Responding
		Cumulative Sum by All Subjects	On Item, by All Subjects	On Item, by Subjects Responding	
37	Serving as spokesman for the unit	54.1	1.3	1.3	97.6
38	Performing as counselor	55.4	1.3	1.3	97.6
39	Teaching	56.7	1.3	1.3	98.8
40	Delegating authority	58.0	1.3	1.4	98.8
41	Enforcing policies/regulations	59.3	1.3	1.3	97.6
42	Considering staff recommendations fully and objectively before making final decision	60.6	1.3	1.4	97.6
43	Developing confidence in individuals toward their leaders	61.9	1.3	1.3	98.8
44	Aesthetic and moral commitment to work	63.2	1.3	1.4	94.0
45	Originating new ideas or practices	64.5	1.3	1.3	98.8
46	Formal relationship with officers	65.7	1.2	1.2	98.8
47	Formal relationship with enlisted men	66.9	1.2	1.2	97.6
48	Officer's safety and welfare	68.1	1.2	1.2	98.8
49	Enlisted men's personal matters	69.3	1.2	1.2	97.6
50	Soliciting opinions of subordinates	70.5	1.2	1.2	98.8
51	Giving opinions to subordinates	71.7	1.2	1.3	98.8
52	Suggesting ways to accomplish tasks	72.9	1.2	1.2	98.8
53	Explaining the WHY of orders, etc.	74.1	1.2	1.2	97.6
54	Warning/reprimanding subordinates	75.3	1.2	1.2	98.8
55	Sense of humor	76.5	1.2	1.2	98.8
56	Praising members for effort or achievement	77.7	1.2	1.2	97.6
57	Coordinating	78.9	1.2	1.2	98.8
58	Informing men what means they have at their disposal to assist them in accomplishing the mission	80.1	1.2	1.3	95.2
59	Instilling in the men an aggressive attitude and desire to destroy the enemy	81.3	1.2	1.4	86.9
60	Great work output	82.5	1.2	1.3	92.9
61	Persuading	83.6	1.1	1.1	97.6
62	Welcoming	84.7	1.1	1.1	98.8
63	Interviewing	85.8	1.1	1.1	98.8
64	Keeping informed of current rumors in the unit	86.9	1.1	1.1	95.2
65	Strength	88.0	1.1	1.2	88.1
66	Informal relationship with enlisted men	89.0	1.0	1.0	98.8
67	Encouraging pleasant group atmosphere	90.0	1.0	1.0	96.4
68	Briefing	91.0	1.0	1.0	98.8
69	Determining when/how work will be evaluated	92.0	1.0	1.0	94.0
70	Knowing enemy's capabilities	93.0	1.0	1.3	81.0

(Continued)

Table 7 (Continued)

**Battalion Commander Leadership—
Ranking of Objects: Worldwide**

Rank ^a	Object	Average Percent "Part-of-the-Position"			Percent of Subjects Responding
		Cumulative Sum by All Subjects	On Item, by All Subjects	On Item, by Subjects Responding	
71	Officer's personal matters	93.9	.9	.9	98.8
72	Acts expressing disapproval	94.8	.9	1.0	95.2
73	Esteem by others	95.7	.9	1.0	91.7
74	Handling of attached/supporting units	96.6	.9	1.0	91.7
75	Reducing conflicts between members	97.5	.9	1.0	96.4
76	Serving as mediator	98.3	.8	.8	98.8
77	Determining differences of opinion between subcommanders and staff	99.1	.8	.9	98.8

^aAsterisks denote objects that appear in the upper one-third of the ranking worldwide and Vietnam categories.

THOUGHT PROCESSING OF THE BATTALION COMMANDER AND HIS STAFF

The detailed results of the thought processing of the battalion commander and his staff are also presented in the previously referenced battalion commander inventory. For illustrative purposes a few observations are recorded here.

It should be remembered that these results are based upon data collected from a simulated battalion CPX. Experienced Army officers have judged this simulation to be a realistic representation of real world situations. However, it is possible that the environment of the simulation may have created artifacts in the findings; thus, the data obtained in the area of thought processing are subject to experimental verification.

The initial phase of the analysis involved determining the frequencies of the two sources of requirements—the Command Imposed and Situation Created categories. (Command Imposed indicates that an element of communication has been passed to the battalion level from some level higher in the chain of command, while Situation Created refers to an element of communication that is passed to battalion level from somewhere lower in the chain of command.) In general, the Command Imposed category occurred more often. However, there was some variability in these frequencies in reference to staff positions, and these differences are shown in Table 8.

The data seem to indicate that direct communication with the Battalion Commander tends to occur more from brigade level than from lower levels, while more company level communication is directed at the staff—particularly the S3, who receives more situation-created communication than any other staff officer.

At this point in the thought processing sequence (Figure 4), the communications—from whatever source—combine to feed into the battalion staff. This input creates various requirements on the commander and staff. The frequency of the resulting behavior patterns is described next.

The first significant pattern is that of Storing (Table 9). The results clearly illustrate that the amount of storing is a function of staff position. Those jobs (S2, S3) that tend to receive the largest amounts of information tend to have the most information stored. This is shown particularly well in the S2 position in which the primary job requirement is the collection, integration, and dissemination of information. The relatively low level of storing for the battalion commander probably indicates that Storing type information is usually passed directly to one of the staff officers rather than to the commander.

Table 8

**Frequencies of Communication
Initiation to Each Staff Position**

Staff Position	Situation Created (%)	Command Imposed (%)
Battalion		
Commander	23	77
S1	33	67
S2	36	64
S3	46	54
S4	39	61

Table 9

**Storing Type of Responses
for Each Staff Position**

Staff Position	Amount of Storing Type of Responses per Staff Position (%)
Battalion	
Commander	12
S1	2
S2	47
S3	37
S4	2

The other two categories of behavior patterns are interactive in nature. Figure 6 shows and contrasts the Analytical and Automatic type responses for each officer and each phase¹ of the simulation.

The results indicate that, in general, the Automatic response occurs most frequently for the battalion staff. However, the commander consistently exhibits the Analytical type of responses during all phases of the CPX. This finding would probably be expected as the commander has the responsibility for making final decisions. To do this, he must search through a wide range of alternatives, evaluate the data presented, and select the most appropriate course of action in each case. The data indicate that these decisions require unique solutions to the problems presented; thus, analytical response patterns are often required. However, the fact that not all the commander's decisions are required to be analytical in nature would tend to indicate that some of the solutions to problems can be preplanned; thus, some stability can be programmed into the response pattern.

The data involving the S1 presents an interesting picture. Although at times this job has been viewed as being rather routine in nature, Figure 6 indicates that there is more complexity in the job than was previously supposed. There is a slight tendency for the response pattern of the S1 to switch from a majority of Automatic responses to a majority of Analytical responses as the intensity of the CPX is increased, but the magnitude of this switch is small and is probably of no practical importance. What is of

¹ The FORGE simulation was divided into three phases (shown as I, II, and III in Figure 6). In the first, the battalion was engaged in routine company level patrolling; in the second, the warning order was issued for an airmobile assault; in the final phase, the airmobile assault was made, and the battalion was engaged in extensive tactical operations. It was intended that each successive phase would apply more and more pressure to the player personnel by decreasing the amount of administrative routine and increasing the amount of tactical requirements.

Individual Response Patterns of Battalion Commander and Staff

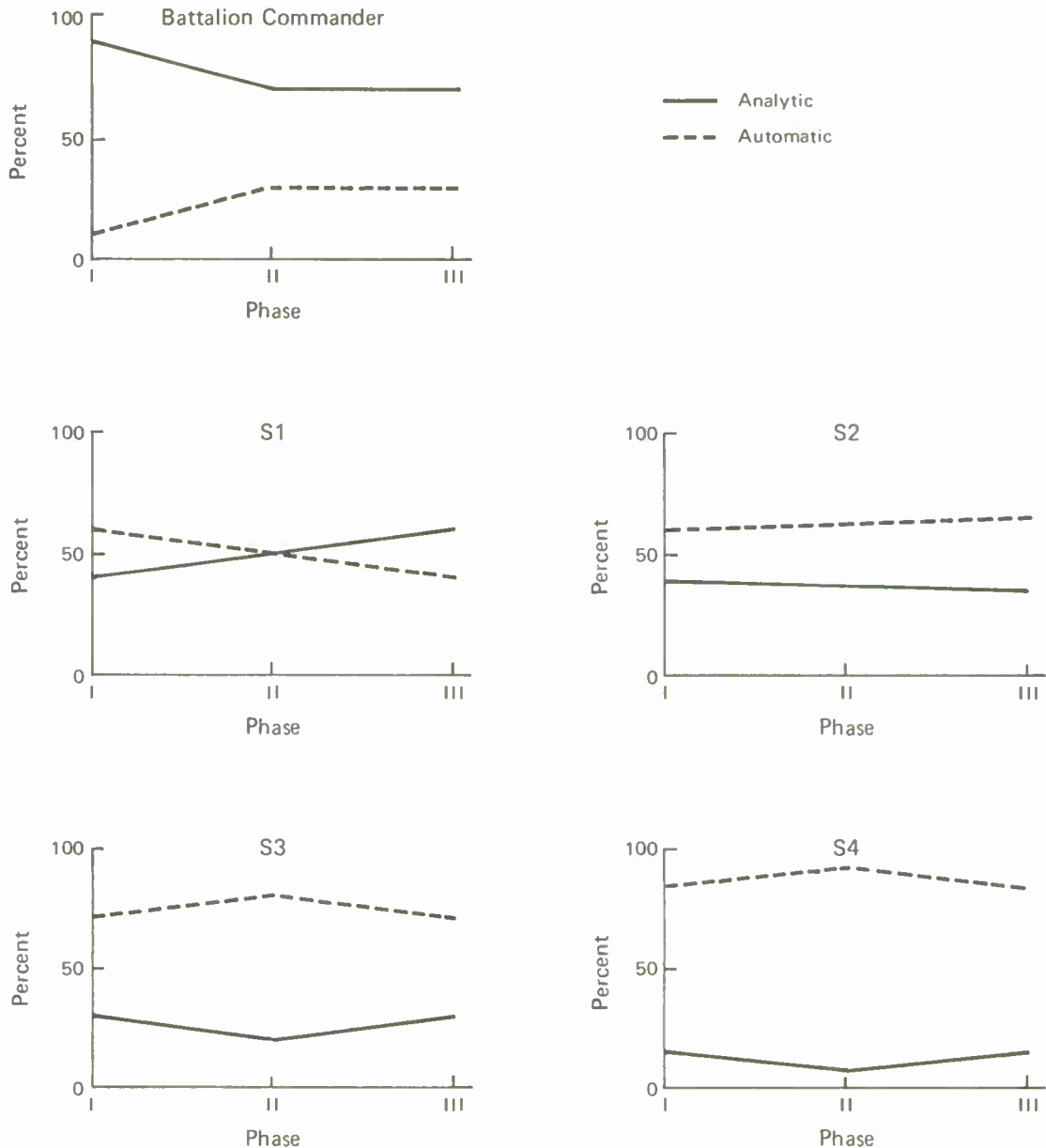


Figure 6

interest is the fact that during the whole simulation, approximately one-half the S1 responses are Analytical in nature. This rather high proportion of Analytical responses for this staff officer means that officers occupying the position should be prepared to assume a job in which more creativity is required than might have been expected.

The data on the activities of the S2 are also worthy of special comment. The S2 often has been shown as the recipient of large amounts of fragmented information that purportedly is assessed to make meaningful patterns. The data show that, in fact, a majority of the time, the S2 is making Automatic Responses. This may imply that the procedures for collecting and evaluating intelligence information are of such specificity

that the typical mode of responding is largely predetermined. The picture that is presented to the commander by the S2 may be unique in regard to the factors assessed, but the thought processing that is involved in obtaining that picture apparently follows a fairly well laid out course.

Data for the S3 are probably predictable. As seen in all phases of the simulation, the Automatic response occurs a majority of the time. Since this staff officer is primarily responsible for carrying out the wishes of the commander as regards tactical matters, it would probably be expected that prescribed modes of responding would be in the majority. It is interesting to note that during Phase II (the planning phase of the CPX), there is actually an increase in the amount of Automatic responses. This finding may underlie the existence of exact administrative procedures that are involved in the planning of a tactical operation.

The data describing the actions of the S4 present a rather clear-cut picture. Here, in all situations, a great majority of S4 responses are automatic in nature. The explanation probably lies in the well-established administrative procedures of the logistics and supply system. Most requirements that are placed on the S4 apparently require no analysis, per se, but simply require that the input energize some previously established sequence of events that will meet the needs of the requesting agency. This finding should not be interpreted to mean that S4 personnel are less creative in their work than are other staff officers. The finding simply identifies a general area that is extensively organized with specific procedures that can be used by the S4 to solve most of his requirements.

In summary, it can be said that while the battalion commander typically engages in responses that can be labeled as Analytical in nature, the responses of the staff are, in the majority of cases, Automatic reactions to the imposed requirements. This finding is probably in the expected direction. One would possibly assume that since it is the commander who is ultimately responsible for the actions of his battalion, he would be expected to make the majority of Analytical responses. Alternately, since it is the job of the staff to carry out the wishes of the commander, it might be assumed that the typical mode of responding for this group would be in the direction of using predetermined patterns of behavior. This use of predetermined patterns emphasizes that, for the battalion staff, "thought processing" is a more descriptive concept than the term "thinking."

CONCLUSIONS

The results of this research are currently being used by the USAIS to improve their courses of instruction as outlined in their own Master Plan and as prescribed by administrative documents from higher headquarters (1, 2). The implementation of the knowledge and skills data has been relatively easy to accomplish, because information of this type can be used directly in the systems engineering process. The direct use of the thought processing information has been more difficult because of the basically nebulous nature of the subject matter, and it is in this area that further research could be accomplished. This is particularly applicable for command decision-making, where relatively little exact information has been identified, and interest in developing data of this type is increasing.

The use of three separate scales to determine knowledge and skill requirements of the battalion staff worked out well. Later, the use of the Hemphill part-of-the-position single scale, representing a combination of importance, criticality, and time spent, was equally well received by battalion commanders.

For the staff officer, it was again determined that the task of identifying the job requirements of line workers is a straightforward operation. Investigating these same

requirements for the executive job is much more difficult, and, possibly for the first time, quantitative data has been gathered on the complex and difficult job of the battalion commander. An observation that can be made about this job is that each commander typically views his role as being unique. This research concludes that uniqueness rests with the individual and not with the job. There is now sufficient evidence to describe adequately and accurately the knowledge and skills of the battalion commander and his principal staff officers, wherever they may be stationed throughout the world.

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1 CG 3RD BN 68TH ARMOR 8TH INF DIV ATTN S3 APD NY 09028
1 CG 3RD BN 37TH ARMOR 4TH ARMORED DIV ATTN S3 APD NY 09066
1 CALIF NG 40TH ARMORED DIV LOS ANGELES ATTN AC OF S G3
1 55TH COMD HQ DIV ARMY NG JACKSONVILLE FLA
1 CG HD 27TH ARMORED DIV NY AIR NG SYRACUSE
1 TFXAS NG 49TH ARMORED DIV DALLAS
3 CG ARMY ARMOR CTR FT KNOX ATTN G3 AIRKGT
1 CG 3RD INF DIV ATTN ACDFS G3 APD NY 09036
1 CG 7TH INF DIV ATT ACDFS G2 APD NY 96207
1 CG 9TH INF DIV ATTN ACDFS G2 APD NY 09111
3 CG 4TH INF DIV (MECH) & FT CARSON ATTN ACDFS G3
1 OA HQS FT CARSON & HOS 4TH INF DIV (MECH) ATT MAJ HARRIS
3 CG 82ND AIR INF DIV ATTN ACDFS G3 FT BRAGG
1 CG XVIII APN CORPS ATTN ACDFS G3 FT BRAGG
1 CG 197TH INF BRGD FT BENNING ATTN S3
1 CG 1ST BN (REINF) ATTN S3 FT MYER
1 CG HQTRS 2ND BN 6TH US INF REGT ATTN S3 APD NY 09742
7 CG 3RD BN 6TH INF REGT ATTN S3 APD NY 09742
1 CG 171ST INF RDE ATTN S3 APD SEATTLE 98731
1 CG 1ST BN 39TH INF 8TH INF DIV ATTN S3 APD NY 09034
1 CG 2ND BN 15TH INF 3RD INF DIV ATTN S3 APD NY 09026
5 CG 1ST INF DIV ATTN ACDFS G3 FT RILEY
5 CG 1ST BN (MECH) 52ND INF 198TH INF RDE ATTN S3 APD SAN FRAN 96219
1 CG 4TH BN (MECH) 54TH INF ATTN S3 FT KNOX
1 CG USA PAKTIC CO USA TNG DEVICE CTR FLA
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 1 CHF OF MILIT HIST OA ATTN GEN REF BR
 1 CO USA 10TH SPEC FORCES GP FT DEVENES
 1 CO 24TH ARTY GP 1ADJ ATTN S3 RI
 1 CG 31ST ARTY BOE AO ATTN S3 PA
 1 CO 49TH ARTY GP AO ATTN S3 FT LAWTON
 2 HQS 4TH BN 59TH ARTY REGT ATTN S3 NORFOLK
 1 CO 28TH ARTY GP AO ATTN S3 SELFRIEDGE AFB
 1 HQS 45TH ARTY BOE AO ATTN S3 ARL HTS ILL
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 30 CG 2D ARMORED DIV ATTN G3 SEC FT HODD
 25 CO 13TH SUPT BGDE ATTN S3 SEC FT HODD
 1 CG USAFAC & FT SILL ATTN AKPSIGT-TNTN
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 1 CO USRAH ATTN S3 FT SILL
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 2 OIC NAV PERS RES ACTVY SAN DIEGO
 1 NAV NEUROPSYCHIAT RES UNIT SAN DIEGO
 1 OIR PERS RES LAB NAV PERS PROGRAM SUPPDT ACTIVITY WASH NAV YO
 1 NAV TNG PERS CTR NAV STA NAV YO ANNEX CODE B3 ATTN LIB WASH
 3 COMDT MARINE CORPS HQ MARINE CORPS ATTN CODE AO-1B
 1 HQ MARINE CORPS ATTN AX
 1 OIR MARINE CORPS EDUC CTR MARINE CORPS SCH QUANTICO
 1 OIR MARINE CORPS INST ATTN EVAL UNIT
 1 US MARINE CORPS HQS HIST REF LIB ATTN MRS JADDT
 1 CHF OF NAV OPNS OP-DIPI
 1 CHF OF NAV OPNS OP-07TL
 2 COMDT HQS BTH NAV DIST ATTN EDUC AOV NEW ORLEANS
 1 CHF OF NAV AIR TECH TNG NAV AIR STA MEMPHIS
 1 DIR DPS EVAL GRP DFF OF CHF OF NAV DPS OP03EG
 2 COMDT PTP COAST GUARD HO
 1 CHF OFCR PERS RES + REVIEW BR COAST GUARD HQ
 1 CO US COAST GUARD TNG CTR GOVERNORS ISLAND NY
 1 CO US COAST GUARD TNG CTR CAPE MAY NJ
 1 CO US COAST GUARD TNG CTR & SUP CTR ALAMEDA CALIF
 1 CO US COAST GUARD INST OKLA CITY OKLA
 1 CO US COAST GUARD RES TNG CTR YORKTOWN VA
 1 SUPT US COAST GUARD ACAO NEW LONDON CONN
 1 AIR TNG COMO/XPT RANDOLPH AFB
 1 TECH OIR TECH TNG DIV(HROI) AFHRL LOWRY AFB COLO
 1 CHF SCI OIV DRCTE SCI + TECH OCS R+O HQ AIR FORCE AFRSTA
 1 FAA DRCTE DF PLNS & OPS HQ USAF WASH DC
 1 CHF OF PERS RES BR DRCTE OF CIVILIAN PERS OCS-PERS HQ AIR FORCE
 1 CHF ANAL DIV (AFPOPL IRI OIR OF PERSONNEL PLANNING HQS USAF
 2 COR ELEC SYS OIV LG HANSCOM FLO ATTN ESHOA/STOP 36 HASS
 1 AFHRL/TT ATTN CAPT W S SELLMAN LOWRY AFB
 1 HQ SAHSD (SMIRI) AF UNIT POST DFC LA AFS CALIF
 2 MILIT TNG CTR OPE LACKLAND AFB
 2 AFHRL IHRIT WRIGHT-PATTERSON AFB
 1 AMO AMRH BROOKS AFB TEXAS
 1 HQS ATC OCS/TECH TNG (ATTMS) RANDOLPH AFB
 1 USAFA OIR OF THE LIB USAF ACAO COLD
 1 6570TH PERS RES LAB PRA-4 AEROSPACE MED OIV LACKLAND AFB
 2 CO HUMAN RESOURCES LAB BROOKS AFB
 1 COMDT USAF SPEC DP SCH (ITAC) EGLIN AFB
 1 AFHRL (FT) WILLIAMS AFB ARIZ
 1 PSYCHOBIOLOGY PRDG NATL SCI FOUND
 1 DIR NATL SECUR AGY FT GEO G MEADE ATTN TOL
 1 OIR NATL SECUR AGY FT GEO G MEADE ATTN DIR OF TNG
 3 CIA ATTN CRS/AOD STANDARD DIST
 1 SYS EVAL OIV RES DIRECTORATE ODO-OCO PENTAGON
 1 DEPT OF STATE BUR OF INTEL + RES EXTERNAL RES STAFF
 1 SCI INFO EXCH WASHINGTON
 2 CHF MGT & GEN TNG DIV TR 2DD FAA WASH DC
 1 BUR OF RES & ENGRN US POST OFC DEPT ATTN CHF HUMAN FACTORS BR
 1 EDUC MEDIA BR DE HEW ATTN T O CLEMENS
 1 NAT'L BUR STANDS BEHAV SCI GP ATTN DR D E ERLICK
 1 OFC OF INTERNATL TNG PLANNING & EVAL BR AID WASH DC

1 DEPT OF TRANS FAA ACQ SEC HQ 610A WASH DC
 2 ERIC DE WASH DC
 1 SYS DEVEL CORP SANTA MONICA ATTN LIB
 2 DUNLAP + ASSOC INC DARIEN ATTN LIB
 2 RAC ATTN LIB MCLEAN VA
 1 RANDO CORP WASHINGTON ATTN LIB
 1 GP EFFECTIVENESS RSCH LAB U OF ILL DEPT OF PSYCHOL
 1 ELECT PERS RSCH GP U OF SOUTHERN CALIF
 1 COLUMBIA U ELEC RES LABS ATTN TECH EDITOR
 1 HITRE CORP BEDFORD MASS ATTN LIB
 2 LEARNING R&O CTR U OF PITTS ATTN OIR
 1 WESTERN ELECTRIC CO INC NY
 1 HUMAN SCI RES INC MCLEAN VA
 2 TECH INFO CTR ENGRN DATA SERV N AMER AVN INC COLUMBUS O
 1 CHRYSLER CORP HSL DIV DETROIT ATTN TECH INFO CTR
 1 RAYTHEON SERV CO ATTN LIBN BURLINGTON MASS
 1 GEN DYNAMICS POMONA OIV ATTN LIB OIV CALIF
 2 OTIS ELEVATOR CO OIV ATTN LIB STAMFORD CONN
 1 MGR BITECHNOLOGY AEROSPACE SYS OIV MS BH-25 BDEING CO SEATTLE
 1 IOA RSCH & ENG SUPT OIV ARL VA
 1 SCI & TECH DIV IDA ARL VA
 1 HUGHES AIRCRAFT COMPANY CULVER CITY CALIF
 1 OIR CTR FOR RES ON LEARNING + TEACHING U OF MICH
 1 R M STDGOILL OHIO STATE UNIV
 1 EDITOR TNG RES ABSTR AMER SOC OF TNG DIRS U OF TENN
 1 U OF CHICAGO DEPT OF SOC
 1 OIR CTR FOR RSCH IN SOCIAL SYS KENSINGTON HO
 3 CANADIAN JOINT STAFF DFC OF DEF RES MEMBER WASHINGTON
 3 CANADIAN ARMY STAFF WASHINGTON ATTN GSD2 TNG
 2 CANADIAN LIAISON DFCR ARMY ARMOR 80 FT KNOX
 2 DFC OF ARMED FORCES ATTACHE ROYAL SWEDISH EMBSY OC
 3 AUSTRALIAN NAV ATTACHE EMBSY OF AUSTRALIA WASH DC
 1 OFC OF AIR ATTACHE AUSTRALIAN EMBSY ATTN: T.A. NAVGN WASH, D.C.
 2 AUSTRALIAN ARMY ATTACHE EMBSY OF AUSTRALIA ATTN TECH CLK
 1 OR B T DODD LRNING SYS LTO SURREY ENGLAND
 1 MENNINGER FOUNDATION TOPEKA
 1 AMER INSTS FOR RSCH SILVER SPRING
 1 AMER INSTS FOR RSCH ATTN LIBN PA
 1 OIR PRIMATE LAB UNIV OF WIS MADISON
 1 OR E GINZBERG COLUMBIA UNIV SCH OF BUS
 3 MATRIX RSCH CO FALLS CHURCH VA
 1 EDUC & TNG CONSLT CO LA CALIF
 1 OR GEORGE T HAUTY CHMN DEPT OF PSYCHOL U OF OEL
 1 GEN ELECTRIC CO SANTA BARBARA ATTN LIB
 1 VITRO LABS SILVER SPRING HO ATTN LIBN
 1 HEAD DEPT OF PSYCHOL UNIV OF SC COLUMBIA
 1 TVA PERS STAFF OFCR KNOXVILLE TENN
 1 U OF GEORGIA DEPT OF PSYCHOL
 1 U OF UTAH DEPT OF PSYCHOL
 1 GE CO WASH DC
 1 AMER INST FOR RSCH ATTN LIB PALO ALTO CALIF
 1 COLL OF ARTS & SCI U OF MIAMI ATTN L L MCQUITTY
 1 ROWLAND + CO HADDONFIELD NJ ATTN PREM
 1 NDRTRONICS OIV OF NORTHRUP CORP ANAHEIM CALIF
 1 OHIO STATE U SCH OF AVN
 2 AIRCRAFT ARMAMENTS INC COCKEYSVILLE MD
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 2 OREGON STATE U DEPT OF MILIT SCI ATTN ADJ
 1 AMER PSYCHOL ASSOC WASHINGTON ATTN PSYCHOL ABSTR
 1 NO ILL U HEAD DEPT OF PSYCHOL
 1 GEORGIA INST OF TECH OIR SCH OF PSYCHOL
 1 LIFE SCI INC HURST TEXAS ATTN W G MATHENY
 1 AMER BEHAV SCI CALIF
 2 OIR INSTR RESOURCES STATE COLL ST CLOUD MINN
 1 COLL OF WM + HARY SCH OF EDUC
 1 SO ILLINOIS U DEPT OF PSYCHOL
 2 ASSOC OIR CDC TNG PRDG ATLANTA GA
 1 WASH MILITARY SYS TECH LIB OIV BETHESDA MD
 1 NORTHWESTERN U DEPT OF INDSTR ENGRN
 1 HONEYWELL ORO STA MAIL STA BD6 MINN
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 1 J P LYDON OIR JR RDTC SAN ANTONIO TEXAS
 2 CHRYSLER CORP DEF ENGR ATTN OR H BERMAN DETROIT
 1 DR S ROSCOE ASSOC DIR FOR RSCH INST OF AVN U OF ILL
 1 OR C HELM DEPT EDUC PSYCH CITY U OF NY
 1 GEN H P HARRIS (USA RET) PRES THE CITADEL SC
 1 OR H SHDEMAKER OIR TNG RSCH GP NY
 1 U OF MINN DEPT OF INDSTR EDUC ATTN R E KUHL
 1 VDC-TECH EDUC PRDG PLNGNG DEV ATTN W STOCK ST PAUL
 1 CHF PROCESSING OIV DUKE U LIB
 1 U OF CALIF GEN LIB DOCU DEPT
 1 FLORIDA STATE U LIB GIFTS + EXCH
 1 PSYCHOL LIB HARVARD UNIV CAMBRIDGE
 1 U OF ILL LIB SER DEPT
 2 U OF KANSAS LIB PERIODICAL DEPT
 1 U OF NEBRASKA LIBS ACQ DEPT
 1 OHIO STATE U LIBS GIFT + EXCH OIV
 1 PENNA STATE U PATTEE LIB DOCU DESK
 1 PURDUE U LIBS PERIODICALS CHECKING FILES
 1 STANFORD U LIBS DOCU LIB
 1 LIBN U OF TEXAS
 1 SYRACUSE U LIB SER DIV
 1 SERIALS REC UNIV OF MINN MINNEAPOLIS
 1 STATE U OF IOWA LIBS SER ACQ
 1 NO CAROLINA STATE COLL OH HILL LIB
 2 BOSTON U LIBS ACQ OIV
 1 U OF HIGH LIBS SER OIV
 1 BROWN U LIB
 1 COLUMBIA U LIBS DOCU ACQ
 1 OIR JOINT U LIBS WASHINGTON
 2 LIB GEO WASH UNIV ATTN SPEC COLL DEPT WASH DC
 2 LIB OF CONGRESS CHF OF EXCH + GIFT OIV
 1 U OF PGH DOCU LIBN
 1 CATHOLIC U LIB EDUC & PSYCHOL LIB WASH DC
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